JC13 Rec'd PCT/PTO 27 APR 2005

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (RE4, 12-2004)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. PCT/JP03/13748

Takashi SUDO

INTERNATIONAL FILING DATE 28 October 2003

ATTORNEY'S DOCKET NUMBER 271008US90PCT

PRIORITY DATE CLAIMED

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/532724

30 October 2002 (earliest)

TITLE OF INVENTION			
HEAT EXCHANGER, HEAT EXCH	ANGER TUBE METER, HEA'	T EXCHANGER FIN MEMI	BER AND PROCESS FOR
FABRICATING THE HEAT EXCHA	ANGER		
APPLICANT(S) FOR DO/EO/US			

Applic	ant he	erewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1.	\boxtimes	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
3.	Ø	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4.	\boxtimes	The US has been elected (Article 31).
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
		a. is attached hereto (required only if not communicated by the International Bureau).
		b. 🗵 has been communicated by the International Bureau.
		c. \square is not required, as the application was filed in the United States Receiving Office (RO/US).
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
		a. is attached hereto.
		b. has been previously submitted under 35 U.S.C. 154(d)(4).
7.	\boxtimes	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
		a. are attached hereto (required only if not communicated by the International Bureau).
		b. have been communicated by the International Bureau.
		c. \square have not been made; however, the time limit for making such amendments has NOT expired.
		d. 🗵 have not been made and will not be made.
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11.	\boxtimes	A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12.	\boxtimes	A copy of the International Search Report (PCT/ISA/210).
Ite	ems 1	3 to 23 below concern document(s) or information included:
13.	\boxtimes	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15.	\boxtimes	A FIRST preliminary amendment.
16.		A SECOND or SUBSEQUENT preliminary amendment.
17.		A substitute specification.
18.	\boxtimes	A power of attorney and/or change of address letter.
19.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22.		Express Mail Label No.
23.	\boxtimes	Other items or information:
		Application Data Sheet/Notice of Priority (2)/PCT/IB/304/PCT/IB/308/PTO-1449/Cited References (2)

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PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATE	07532	3 ^C 53 15)	INTERNATIONAL	APPL	ICATION NO.	1	ATTORNEY'S DOC	KET NUMBER
, ,	0/972	124	PCT/JP(3/13	748		271008US90	PCT
24. The following	g fees are submitte	ed:				Αp	pplicant use	Office use
☐ a) Basic national fee					\$	\$300.00		
☑ b) Examination fee					\$	\$200.00		
☑ c) Search fee					\$	\$400.00		
					\$_	\$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	S Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole							
- 100 =	/50 =				× \$250.00	\$	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).					\$			
CLAIMS	CLAIMS NUMBER FILED NUMBER EXTRA RATE				RATE			
Total claims	18	- 20 =	0	x	\$50.00	\$	\$0.00	
Independent clair	ns 3	- 3=	0	x	\$200.00	\$	\$0.00	
MULTIPLE DEPE	NDENT CLAIMS	(if applicable)		+	\$360.00	\$	\$0.00	
			L OF ABOVE CA			\$	\$900.00	
Applicant cla reduced by 1		atus. See 37 (CFR 1.27. The fees i	ndica	ted above are	\$	\$0.00	
				S	UBTOTAL =	\$	\$900.00	
	\$130.00 for furnised priority date (3		sh translation later th)).	an 30) months from	\$	\$0.00	
			TOTAL N	ATI	ONAL FEE =	\$	\$900.00	
			FR 1.21(h)). The ass FR 3.28, 3.31). \$40			\$	\$0.00	
			TOTAL FE	S E	NCLOSED =	\$	\$900.00	
			Amou	nt to	be refunded:			\$
Amount to be charged								\$
a. A check in the amount of \$to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of to cover the above fees. A duplicate copy of this sheet is								
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
			37 CFR 1.495 has national Application			on to	revive (37 CFR 1.	137(a) or (b))
	RESPONDENCE T			•		Lu	wh lock	Ros 1
					SIGNATUR	É		
		Suri	nder Sachar		Masayasu	Mo	ri	
		Registra	tion No. 34,42	23	NAME			
CUSTOMER N	UMBER				47,301			
22850					REGISTRA	TION	NUMBER	

Docket No.

271008US90PCT



dPCT/PTO 27APR 2005

IN RE APPLICATION OF: Takashi SUDO

SERIAL NO: New U.S. PCT Application based on PCT/JP03/13748

FILED:

Herewith

FOR:

HEAT EXCHANGER, HEAT EXCHANGER TUBE MEMBER, HEAT EXCHANGER FIN MEMBER AND

PROCESS FOR FABRICATING THE HEAT EXCHANGER

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required

☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

Additional documents filed herewith:

Credit Card Payment Form for \$900.00/PCT Transmittal Letter/Application Data Sheet

Preliminary Amendment (with cover sheet)/Notice of Priority (2)

English Translation of Specification/Declaration/PCT/IB/304/PCT/IB/308

Information Disclosure Statement/PTO-1449/Cited References (2)

International Search Report/International Preliminary Examination Report

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	18	MINUS	20	0	х	\$50	=	\$0.00
INDEPENDENT	3	MINUS	3	0	х	\$200	=	\$0.00
		☐ MULTIPL	E DEPENDENT	CLAIMS	+	\$360	=	\$0.00
		TOTAL OF ABOVE CALCULATIONS					\$0.00	
		☐ Reduction by 50% for filing by Small Entity					\$0.00	
		☐ Recordation of Assignment + 5				\$40	=	\$0.00
				• •		TOT	AL	\$0.00

☐ A check in the amount of \$0.00 is at	attacned.
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- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Masayasu Mori

Registration No. 47,301

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423